CÔNG TY CỔ PHẦN CHỨNG KHOÁN AN BÌNH
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN AN BÌNH
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AN BINH SECURITIES JOINT STOCK COMPANY

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

No.: 79 /NQ-HĐQT2026

Ha Noi, November 04, 2026

RESOLUTION OF THE BOARD OF DIRECTORS

(Re: Continued Distribution of Unsold Shares from the Share Issuance under the Company's Employee Stock Ownership Plan)

THE BOARD OF DIRECTORS
AN BINH SECURITIES JOINT STOCK COMPANY

- *Pursuant to the Law on Enterprises dated June 17, 2020, as amended and supplemented on January 11, 2022 and June 17, 2025 (the "Law on Enterprises"), and its implementing regulations;*
- *Pursuant to the Law on Securities dated November 26, 2019, as amended and supplemented on November 29, 2024 (the "Law on Securities"), and its implementing regulations;*
- *Pursuant to the Charter of An Binh Securities Joint Stock Company;*
- *Pursuant to Resolution No. 17/NQ-ĐHĐCĐ2026 dated March 18, 2026 of the General Meeting of Shareholders of An Binh Securities Joint Stock Company;*
- *Pursuant to the Board of Directors' Resolution No. 67/NQ-HĐQT2026 dated September 7, 2026 on the implementation of the plan for the issuance of shares under the Company's Employee Stock Ownership Plan;*
- *Pursuant to the Board of Directors' Resolution No. 68/NQ-HĐQT2026 dated September 7, 2026 approving the Regulations on the issuance of shares under the Employee Stock Ownership Plan;*
- *Pursuant to the Board of Directors' Resolution No. 75/NQ-HĐQT2026 dated October 2, 2026 approving the list of employees eligible to participate in the Company's Employee Stock Ownership Plan and the number of shares allocated to each eligible employee;*
- *Pursuant to Official Letter No. 10055/UBCK-QLKD dated October 9, 2026 of the State Securities Commission of Vietnam regarding the report on the ESOP share issuance of An Binh Securities Joint Stock Company;*
- *Pursuant to Notice No. 380/ABS-IB.26 dated October 12, 2026 regarding the issuance of shares under the Company's Employee Stock Ownership Plan;*

- *Pursuant to Notice No. 402/ABS-IB.26 dated October 21, 2026, on the extension of the payment period for shares offered under the Company's Employee Stock Ownership Plan (ESOP);*
- *Pursuant to the list of employees who paid for the purchase of shares under the ESOP as of the end of October 26, 2026;*
- *Pursuant to the Minutes of the Board of Directors' Meeting No. 78/BB-HĐQT2026 dated November 04, 2026.*

RESOLVES:

Article 1: The Board of Directors hereby approves the results of the distribution of shares to employees participating in the Employee Stock Ownership Plan (the "ESOP") as of the end of October 26, 2026, with the following details:

1. Name of shares issued: Shares of An Binh Securities Joint Stock Company.
2. Securities code: ABW.
3. Par value: VND 10,000 per share.
4. Type of shares issued: Ordinary shares.
5. Number of shares reality to be issued: 4,999,867 shares (The fractional shares arising from rounding down to the nearest whole share, with the decimal fractions discarded: 133 shares).
6. Issue price: VND 10,000 per share.
7. Payment period for ESOP share purchases: From October 12, 2026 to the end of October 26, 2026.
8. Total number of shares subscribed for and paid for by employees: 1,794,535 shares.
9. Number of shares remaining undistributed: 3,205,332 shares.

Article 2: The Board of Directors hereby approves the continued distribution of the shares remaining unsold from the ESOP issuance, with the following details:

1. Total number of remaining unsold shares to be distributed: 3,205,332 shares.
2. Issue price of the shares to be further distributed: VND 10,000 per share.
3. Eligible purchasers: As specified in the list attached as an Appendix to this Resolution.
4. Transfer restrictions: ESOP shares are subject to a transfer restriction period of one (1) year from the closing date of the issuance. ABS shall not repurchase the issued ESOP shares.
5. Payment period: From November 04 2026 to the end of November 16 2026.

   In the event that the employees listed in Clause 3 above complete payment for all

shares allocated to them before the expiry of the payment deadline specified in Clause 5, the Board of Directors shall close the issuance immediately at that time and report the ESOP issuance results in accordance with applicable laws and regulations.

6. Payment method: Employees shall transfer payment for the share purchases to the following escrow account:

- Account holder: An Binh Securities Joint Stock Company.
- Account number: 8601455979.
- Opend at: Joint Stock Commercial Bank for Investment and Development of Vietnam (BIDV) – Nam Ky Khoi Nghia Branch.
- Payment reference: [Employee's full name] [Employee ID] tranfer for buying ESOP [Number of shares] CP ABW

Article 3: The General Director and the Legal Representative are assigned and/or authorized to carry out the necessary procedures to complete the further distribution of the remaining unissued ESOP shares. They shall also proactively report the issuance results upon completion of the issuance, ensuring compliance with applicable laws and regulations.

Article 4: Implementation provisions

This Resolution shall take effect from the date of signing.

Members of the Board of Directors, the Board of General Directors, the Chief Accountant and relevant functional departments shall be responsible for implementing this Resolution./.

FOR AND ON BEHALF OF BOD

CHAIRPERSON

(signed)

Trần Việt Dũng

Recipients:

- As stated in Article 4;
- SSC; HNX;
- Archived: Administrative Office.

LIST OF EMPLOYEES ALLOCATED UNSUBSCRIBED SHARES FROM THE SHARE ISSUANCE UNDER THE COMPANY'S EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)

(Issued together with Resolution No. 79 /NQ-HĐQT2026 dated November 04 2026)

No.	Employee ID	Full Name	Department	Number of shares allocated (shares)
1	AB0731	Nguyen Vu Minh Tra	Treasury and Investment Department	264,000
2	AB0851	Nguyen Ngoc Due	Human Resources and Internal Services Department	52,325
3	AB0533	Duong Ngoc Tu	PR & Marketing Department	39,200
4	AB0917	Vu Thi Thanh Ha	Business Management and Development Department	1,000,000
5	AB0463	Nguyen Thi Thanh	Multi-service Department	294,200
6	AB0925	Nguyen Van Thong	Thang Long Business Development Center – Thang Long 1 Brokerage Department	4,900
7	AB0931	Nguyen The Minh	Investment Banking Division	70,785
8	AB0829	Trinh Thuy Nga	Technology Development and Integration Department	9,793
9	AB0198	Phan Hong Van	Bond Operations Department	39,600
10	AB0504	Tran Nam Son	Risk Management and Compliance Division	472,000
11	AB0871	Do Thi Hai Yen	Accounting Department	8,900

12	AB0906	Nguyen Thi Cam Nhung	Thang Long Business Development Center – Thang Long 1 Brokerage Department	949,629
Total				**3,205,332**